

**AMAN OBEROI**  
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## EXPERIENCE

### **K2Q CAPITAL Ltd**

**LONDON**

#### **CEO & CIO (June 2016 - current)**

- Start up Investment Advisor for systematic trading strategies
- Currently focused on systematic Japanese Equity strategy
- December 2015 to May 2016, period to do research and development and to conceptualize the new business opportunity to start K2Q Capital Ltd

### **MAGNE-MAX CAPITAL MANAGEMENT Ltd**

**LONDON/OSAKA**

#### **DIRECTOR & SHAREHOLDER (Nov 2012 - November 2015)**

- Early stage investor, strategic advisor and Hedge Fund advisor in this start up quantitative strategies firm
- Strategic advise & guidance on creating, developing and testing algorithmic, quantitative & event driven trading strategies
- Magne-Max is a Japan FSA registered Investment Advisory company specializing in quantitative trading strategies based on Natural Language processing of Big Data using Algorithms.
- **International and Hedge Fund Advisor to Magne-Max Capital from (2016 - current)**

### **MIZUHO INTERNATIONAL plc (Feb 2013 - April 2014)**

**LONDON**

#### **HEAD OF SPECIAL SOLUTIONS**

- Senior Managing Director and member of the Executive Committee
- Identify and develop new lines of business across all asset classes
- Structured lending with loans and other less liquid collateral
- Sourcing and placing of European assets to both Japanese investors and direct lenders.
- Retail Equity derivatives /Structured products in Europe

### **LOUIS CAPITAL MARKETS (2011 - Jan 2013)**

**LONDON**

#### **GLOBAL MACRO DERIVATIVE BUSINESS DEVELOPMENT & SALES**

- Global macro derivative products sales to hedge funds and banks.
- Follow Global macro economic trends to generate trade ideas across various products.
- Equity derivatives, commodity derivatives, FX derivatives, interest rate derivatives and volatility derivatives.
- Developing business to source assets from European banks to place with Alternative investors.
- Develop Retail Structured products business for all asset classes in Europe.

### **AIRNERGY (2009 - 2010)**

**LONDON / NEW DELHI**

#### **CEO**

- Originated and invested in wind power projects with a prime focus on India.
- Recruited and trained a team specializing in due diligence, wind and site analysis (CFD), land acquisition construction, commissioning and arranged debt financing through project finance teams at banks.
- Structured Wind-farm green-field projects for UK based renewable focused Private Equity groups. Tax & accounting, SPVs, corporate structures, legal & shareholder agreements.

### **SAL OPPENHEIM jr & Cie. KGaA (2007 - 2008)**

**FRANKFURT**

#### **HEAD OF DERIVATIVES TRADING**

Responsible for Equity Derivatives trading, securities lending & quant research team, 30+ people.

- Managing Director and member of the Derivatives Management Committee.
- Directly responsible for all risk and proprietary trading, the latter was very profitable in Q3 & Q4 2008.
- Lead the expansion of the team. Recruited, motivated and developed traders.
- Played the key role in altering the strategy of the franchise from sales to a risk driven business model.
- Restructured and reorganized the desk including sectorizing trading, streamlining IT, and cost cutting.
- Team made markets for 25,000+ Exchange traded listed Warrants and Certificates.
- Managed the risk warehouse for the retail securitized derivative / Structured Products franchise including barriers, exotics, correlation, index and single stocks.

**BANK SAL OPPENHEIM jr & Cie. Switzerland Ltd. (2006 – 2007)****DUBAI / ZURICH****MEMBER OF THE DERIVATIVES MANAGEMENT COMMITTEE**

- Advised the management committee on strategic risk management of the derivatives warehouse and new product development.
- Promoted, marketed and developed the Retail Structured Products business-targeting private banks, private wealth managers and family offices primarily in the UAE.

**CITIGROUP (2001 – 2006)****LONDON****PROPRIETARY TRADING (2005 - 2006)**

- Managed proprietary capital in global macro arena with a team of five.
- Invested in wide variety of asset classes including FX / EM / FI / equity indices, using cash, derivatives and exotics.

**EM CREDIT DERIVATIVES TRADING (2003 - 2004)**

- Managed market making for Emerging Market credit default swaps. P&L primarily from positioning.

**HEAD OF EM STRUCTURED CREDIT PRODUCTS (2001 – 2002)**

- Created and built structured credit business for CEEMEA.
- Recruited product team & trained regional sales teams.
- Managed trading and structuring of all credit products for investors and corporates including default swaps, FTD baskets, credit linked notes, credit linked cross currency swaps and leveraged notes.
- Initiated structured lending business including equity financing, second loss structures and structured trade finance using the insurance market. P&L: 80% positioning & 20% customer business.

**EUROPEAN CREDIT DERIVATIVES TRADING (2000 – 2001)**

- Initiated trading of US and European single name and First-to-Default credit default swaps in London.
- Managed market making for European CDS and developed synthetic CDO and basket structures.

**CITIBANK (1997 – 2000)****TOKYO****HEAD OF JAPAN DERIVATIVES CAPITAL MARKETS (1999 – 2000)**

- Post Citibank/Travelers merger, promoted to manage a team of 10 structurers and marketers of debt, equity, credit & commodity derivatives to Citibank target market clients in Japan.
- Primary source of revenue was exotic equity derivatives and structured credit derivatives including marketing mezzanine tranches of CLOs to investors.
- Assisted a client private equity group acquiring one of the largest Japanese banks. Lead the team to analyze, value and provide firm bids for the entire credit, equity and derivative portfolio of the target bank.

**HEAD OF JAPAN EQUITY DERIVATIVES (1997 – 1999)**

- Developed and managed a team of six including traders / structurers / marketers that provided Japanese equity related derivatives to local and global clients. Also started proprietary trading.
- Implemented a corporate finance approach to market equity derivatives to Japanese corporate clients and initiated reverse convertible bond business targeted to HNW clients.

**CITIBANK (1989 – 1997)****NEW YORK****HEAD TRADER JAPAN & EMERGING MARKET EQUITY DERIVATIVES (1994 – 1997)**

- Created equity derivatives business in Asia, primarily Japan and also Hong Kong for American and European investors.
- Initiated and developed the Latin American equity derivatives business which included collateralized financing transactions and quanto derivatives for investors.

**TRADER, LATIN AMERICAN DERIVATIVES (1992 – 1994)**

- Structured and traded emerging markets derivatives including local currency products, foreign exchange, equity and cross-border risk derivatives.

**PROPRIETARY EQUITY DERIVATIVES TRADING (1989 – 1992)**

- Traded primarily Japanese, US and European equity derivatives.

## **EDUCATION**

**UNIVERSITY OF NORTH CAROLINA – CHAPEL HILL 1989**  
**MBA** Finance

**CHAPEL HILL, NC**

**DUKE UNIVERSITY 1987**  
**BSE** Majors: Electrical Engineering & Computer Science

**DURHAM, NC**

## **PERSONAL**

UK citizen

Married with three children

Golf, Wild Life, Photography, Yoga